

Docket No. 219289US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yasutaka ITO, et al.

SERIAL NO: 10/069,943

GAU:

FILED: March 6, 2002

EXAMINER:

FOR: CARBON-CONTAINING ALUMINUM NITRIDE SINTERED BODY, AND CERAMIC SUBSTRATE FOR A SEMICONDUCTOR PRODUCING/EXAMINING DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Docket No: 219289US0PCT

### STATEMENT OF RELEVANCY

1) References \_\_\_\_\_ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) References AO-AT and AW have been cited the opposition proceedings in the corresponding Japanese application. A copy of these references is being submitted herewith.

3) References \_\_\_\_\_ are discussed in the specification. A copy of these references is being submitted herewith.

4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

\* Reference AW: This report was submitted for the opposition for a given patent by NGK INSULATORS LTD against the Japanese patent application constituting a part of the present invention.

According to this opposition, Yuji Katsuda, the inventor of JP Kokai Hei-11-100270, carried out the same experiments as Examples 2, 4 and 6 of the present invention to prepare the sample. And, he measure the content of each of elements contained in these samples and X-ray diffraction chart of these samples. This report shows the result of the experiment and the measurement.

Further, the translation of Examples of JP Kokai Sho 11-100270 is attached to the above publication.